



### Phase I Application Checklist

Name of Document	Format	Attach to
Application for Federal Assistance, SF-424 Form	PDF	N/A
Research and Related: Budget Form	PDF	N/A
Additional Senior Key Persons, if applicable	PDF	Field A. 9
Additional Equipment, if applicable	PDF	Field C. 11
Budget Justification	PDF	Field K
Research and Related: Senior/Key Person Profile Form	PDF	N/A
Biographical Sketch for each person	PDF	Appropriate Block
Current & Pending Support for each person, if applicable	PDF	Appropriate Block
Research and Related: Other Project Information Form	PDF	N/A
Project Abstract and Summary	PDF	Field 7
Project Narrative (PN) <b>New – Promoting Inclusive and Equitable Research (PIER) Plan</b>	PDF	Field 8 PIER Plan is included as an appendix to PN
Bibliography and References Cited, if applicable	PDF	Include in Project Narrative
Facilities and Other Resources, if applicable	PDF	Include in Project Narrative
Equipment, if applicable	PDF	Include in Project Narrative
Other— Data Management Plan	PDF	Field 12
Other— Disclosure of Foreign Relationships	PDF	Field 12
Other—Level of Effort & Max Funding Worksheet	PDF	Field 12
Other—Letter of Commitment for consultant, sub-award, or research institution, if applicable	PDF	Field 12
Other—Letters of Support, if applicable	PDF	Field 12
Other—SBA Company Registration	PDF	Field 12
Other – Company Commercialization Report from SBIR.gov for STTR-Only applications, if applicable	PDF	Field 12
Authorization for non-DOE/NNSA FFRDCs, if applicable	PDF	Field 12
Authorization for DOE/NNSA FFRDC, if applicable and if available	PDF	Field 12
Research and Related: Sub-award Budget Form, if applicable	PDF	N/A
Budget Justification for each Sub-award	PDF	Appropriate Block
SF-LLL, Disclosure of Lobbying Activities, if applicable	PDF	N/A
Project/Performance Site Location(s)	PDF	N/A
SBIR/STTR Information Form	PDF	N/A
Phase I Commercialization Plan	PDF	Field 8
Company Commercialization Report from SBIR.gov for SBIR or Both SBIR/STTR applications, if applicable	PDF	Field 9

Note: N/A is for a Grants.gov supported form